

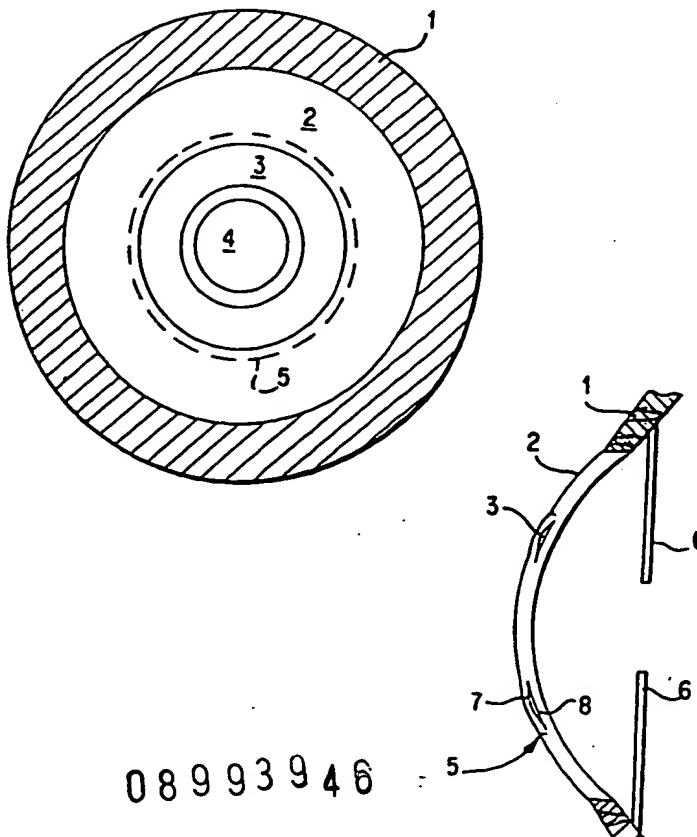
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5 : <b>A61F 2/14</b>		<b>A1</b>	(11) International Publication Number: <b>WO 93/127</b>
			(43) International Publication Date: 8 July 1993 (08.07)
(21) International Application Number: <b>PCT/US92/11123</b>		(81) Designated States: AU, BR, CA, JP, KR, RU, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, LU, MC, NL, PT, SE).	
(22) International Filing Date: <b>22 December 1992 (22.12.92)</b>			
(30) Priority data: 816,007                      2 January 1992 (02.01.92)    US 933,657                      21 December 1992 (21.12.92)    US		<b>Published</b> <i>With international search report. Before the expiration of the time limit for amending claims and to be republished in the event of the receipt of amendments.</i>	
(71) Applicant: <b>CHIRON INTRAOPTICS, INC. [US/US];</b> 9342 Jeronimo Road, Irvine, CA 92718-1903 (US).			
(72) Inventors: <b>BARRETT, Graham, D. ; 56 Dampier Avenue,</b> Perth (AU). <b>REICH, Cary, J. ; 26502 DappleGrey, Laguna Hills, CA 92653 (US).</b>			
(74) Agents: <b>FIGG, E., Anthony et al.; Rothwell, Figg, Ernst &amp; Kurz, 555 Thirteenth Street, N.W. #701 East, Washington, DC 20004 (US).</b>			

(54) Title: CORNEAL RING INLAY AND METHODS OF USE

(57) Abstract

A biocompatible corneal inlay ring apparatus (3) for altering the curvature of the central optic zone (4) of the cornea (2) of the eye without intrusion into this optic zone (4), comprising a continuous ring (3) of a fixed diameter greater than that of the optic zone (4), of a thickness and geometry such that the curvature of the central optic zone is flattened to an extent appropriate to the refractive correction desired, and of a composition having a refractive index within about 2.0 % of that of the corneal tissues.



08993946

*FOR THE PURPOSES OF INFORMATION ONLY*

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	MR	Mauritania
AU	Australia	GA	Gabon	MW	Malawi
BB	Barbados	GB	United Kingdom	NL	Netherlands
BE	Belgium	GN	Guinea	NO	Norway
BF	Burkina Faso	GR	Greece	NZ	New Zealand
BG	Bulgaria	HU	Hungary	PL	Poland
BJ	Benin	IE	Ireland	PT	Portugal
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JP	Japan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SK	Slovak Republic
CI	Côte d'Ivoire	LI	Liechtenstein	SN	Senegal
CM	Cameroon	LK	Sri Lanka	SU	Soviet Union
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	MC	Monaco	TC	Togo
DE	Germany	MG	Madagascar	UA	Ukraine
DK	Denmark	ML	Mali	US	United States of America
ES	Spain			VN	Viet Nam

CORNEAL RING INLAY AND METHODS OF USE

This application is a continuation-in-part of U.S. patent application Serial No. 07/816,007.

BACKGROUND OF THE INVENTION5 Field of the Invention

The field of this invention relates to corneal implants to correct refractive errors in a patient. More particularly, it relates to a corneal ring inlay device for reversibly altering the curvature of the central cornea without intruding on the central cornea, which is particularly useful in correcting vision defects.

Description of the Background Art

Deviations from the normal shape of the corneal surface or the axial length of the eye produce errors of refraction in the visual process. The eye in a state of rest, without accommodation, focuses the image of distant objects exactly on the retina. Myopia is that refractive condition in which, with accommodation completely relaxed, parallel rays are brought to focus in front of the retina. One condition which commonly causes myopia is when the length of the eye is greater than normal. In this condition, rays converge or focus in front of the retina in the vitreous of the eye.

When the rays reach the retina, they have diverged, forming a circle of diffusion and consequently a

blurred image. A concave lens is needed to correct the focus of the eye for myopia.

The classical treatment of these forms of refractive error of the eye is with the use of eyeglasses or contact lenses, both of which have well-known disadvantages to the user. Recently, surgical keratorefractive techniques have been developed to change the refractive condition of the eye in order to correct both hyperopic and myopic errors. The results of such surgical procedures are not entirely satisfactory. One such technique, referred to as keratomileusis, was introduced by Barraquer of Columbia in 1961. This technique involves grinding of a corneal button into an appropriate shape to correct myopia or hyperopia. It is often unsatisfactory, in part because it often induces additional refractive errors to the eye. These refractive errors are not compensatable, in part because suturing can cause radial asymmetry of the cornea that subsequently results in astigmatic error, and in part because suturing causes scarring of the corneal tissue, which generates scar tissue that is not transparent to light.

In a related surgical technique, keratophakia, a donor cornea is ground into a convex lens and inserted in the lamella in order to correct aphakic hypermetropia. Radial keratotomy was introduced in modern times (1972) by Fyodorov of the USSR to correct astigmatism and myopia. Correction of astigmatism by asymmetrically altering the corneal curvatures is prone to producing aberrant refractive corrections, in part because of suturing and in part because of remodeling of the altered stroma. Such surgery does not have a fully predictable outcome, and furthermore any non-spherical flattening of the cornea on healing results

in an eyesight defect that cannot be corrected by lenses.

It is thus seen that present procedures in keratorefractive surgery are best limited to conditions where other more standard corrective practices are found ineffective. It is generally recognized that the limiting factor in such surgical techniques is the complexity involved, not only with multiple incisions in corneal tissue necessary for accomplishing such procedures, but also complex suturing patterns, resulting in a gross restructuring of the eye.

It is thus clear that there is an important need for alternate methods of achieving a change in anterior corneal curvature without extensive surgical intervention.

U.S. patent nos. 4,452,235, 4,671,276, 4,766,895 and 4,961,744 to Kera Vision, Inc. disclose attempts to meet this need with a surgical apparatus consisting of a flexible plastic, split end, flat adjusting ring that is inserted into the stroma of the cornea of the eye. A metal dissecting ring, held in a circular shape by a special holder, is connected to one end of the adjusting ring in order to part the stroma and provide a pathway for the adjusting ring as the ring is rotated through the stroma. The ends of the adjusting ring are moved to change the curvature of the cornea to a desired shape in accordance with the desired visual correction, after which the ends of the adjusting ring are hooked to each other in order to maintain the desired shape; the dissecting head is then detached from the adjusting ring and removed from the eye. Due to the resulting stress on the cornea, such rings may erode through the cornea, resulting in severe damage.

In oral presentations at a January 1988 meeting of CLAO and at a May 1989 meeting of ARVO, E. Barraquer described flat, intrastromal rings for correction of myopia consisting of thin sections cut from silicon tubing. Ring were inserted by dissecting a large intrastromal pocket, and then inserting the flexible silicone ring into the pocket. The surface of the cornea was cut only at the point of the initial incision; Bowman's membrane was not cut in a circular fashion.

Zhivotovskii, D.S., USSR patent no. 388746, describes an alloplastic, flat, geometrically regular, annular ring for intracorneal implantation of an inside diameter that does not exceed the diameter of the pupil. Refractive correction is accomplished primarily by making the radius of curvature of the surface of the ring larger than the radius of curvature of the surface of a recipient's cornea in order to achieve flattening of the central area of the cornea. Surgical procedures for inserting the rings are not described.

Krasnov, M.M., Ann. Ophthalmol., 24:165 (1992) describes a machined homoplastic annular transplant to be grafted on top of the cornea concentrically with the visual axis in order to correct myopia.

The advantages of a ring system for altering the curvature of the cornea, but one without the complicated apparatus and surgical and erosion problems attendant upon the use of the aforementioned split end apparatus, are encompassed in the present invention which is disclosed and claimed below.

#### SUMMARY OF THE INVENTION

The present invention provides a corneal continuous ring inlay that alters the curvature of the

central cornea to correct for refractive error in a facile and simple manner by placing inside the stroma a continuous corneal ring of a fixed diameter, and of a thickness and geometry that alters the curvature of the central portion, i.e., optical zone, of the cornea, without encroaching on this central portion and without impeding the flow of nutrients to the anterior cornea.

It is thus an aspect of this invention to describe cosmetically acceptable corneal ring implants that produce changes in corneal curvature.

It is yet another object of this invention to disclose methods of use of the ring inlay using surgical procedures for inserting the ring that do not result in extrusion of the ring, and that are reversible.

These and other objects will become apparent by reference to the specification that follows and to the appended claims.

#### DETAILED DESCRIPTION OF THE FIGURES

Figure 1 is a front (A) and side (B) view of the general location of the corneal ring inlay of the invention in the cornea of the eye. The inner diameter of the ring is such that the ring avoids intrusion into the optic zone of the cornea.

Figure 2 is a cross-sectional view of a corneal ring inlay for insertion within the stromal tissue of the cornea of the eye. The cross-sectional shape of the corneal ring inlay has a thickness and geometry that alters the curvature of the optic zone of the cornea.

DETAILED DESCRIPTION OF THE INVENTION

The invention relates to a biocompatible ring-shaped device that alters the curvature of the central cornea of the eye without intrusion into the optic zone of the cornea. The ring comprises a continuous corneal ring of fixed diameter insertable into the stroma of the cornea. The inside diameter of the ring is greater than the optic zone so that the ring does not overlay the optic zone. The ring's cross-sectional thickness and geometry are such that the anterior cornea is raised peripherally, thereby reducing the curvature of the cornea in its central portion, i.e., the optic zone, thereby producing the optical correction desired.

Referring to Figure 1 A (front view) and B (side view), which show one embodiment of the invention, the ring inlay 3 is inserted in the cornea 2 via a surgical incision in the stroma 5 peripheral to the optic zone 4 and over the iris 6. Both the cornea 2 and iris 6 are attached to the sclera 1 of the eye.

In a preferred embodiment, the anterior surface 7 of the corneal ring 3 is of a substantially domed shape and the posterior surface 8 is substantially flat, with the ring being thickest in the middle of the dome and thinnest at the inner and outer extremes of the ring.

The geometry of corneal inlay ring 3 is shown in greater detail in Figure 2. The extent of corneal flattening and thus refractive correction is determined by a number of factors. These factors include the cross-sectional thickness a and inner and outer slopes of the dome-shaped anterior surface 7, which are determined by the ratio of thickness dimension a to dimensions b and c, respectively. For a given shape of ring cross-section, the inside ring diameter d also affects the extent of refraction correction.



Refractive correction by the corneal inlay ring of the invention is not a function of the differences in radii of curvature of the corneal surface in relation to that of the anterior surface of the ring. As illustrated in the drawings, when implanted, the posterior surface 8 of corneal ring inlay 3 has a frustoconical shape so as to conform generally to the curvature of the cornea for comfortable fit. When the corneal ring inlay is made of a highly flexible material, the ring may be molded or machined so that the posterior aspect is substantially flat, and it will, when implanted, assume the frustoconical shape. When the corneal ring inlay is made of a more rigid material, it is advantageously molded or machined into the requisite frustoconical shape. When the corneal ring inlay is made of a relatively rigid material, the angle  $\theta$  is selected so that posterior surface 8 conforms generally to the curvature of the cornea. Typical values for dimension a range from about 0.005 to about 0.2 mm. Typical values for dimensions b and c range from about 0.1 to about 2 mm. Typical values for angle  $\theta$  range from about 0 to about 45 degrees. Typical values for diameter d range from about 2 to about 8 mm.

Referring to Figure 1, in one embodiment the surgical procedure requires a peripheral incision 5 in the cornea 2 through Bowman's membrane, followed by undermining the stroma in a circular fashion, leaving intact the central portion of the cornea. The cutting of Bowman's membrane throughout results in little stress on the cornea after placing the ring into the undermined portion of the stroma. The initial peripheral circular incision may be at a site in the limbus. In another embodiment, the circular trephine incision through Bowman's membrane is made at a point

outside of the optical zone, and the stroma of the cornea is undermined from the inside out toward the limbus. Thereafter, the periphery of the tissue may be resealed by suturing, adhesive or laser tissue sealing, or by allowing the circular incision to reseat naturally. The central portion of the cornea, i.e., the optic zone 4, is left entirely intact subsequent to the procedure, as the ring device is located peripherally to the central optic zone, i.e., over the iris 6. The procedure is readily and simply reversible, allowing facile removal of the ring.

Another embodiment involves slicing off the top of the cornea through the optical zone, placing a ring of the invention, of a diameter such that all of the ring is outside of the optical zone, onto the resulting stromal bed, and replacing the cap of the cornea. As in the first two embodiments, the cap may be reconnected to the cornea by suturing, adhesive or laser tissue sealing, or simply by allowing natural sealing to occur. The cap will heal quickly and will leave the optical zone unchanged. The net effect of this embodiment, as with the first two, is to correct vision by central anterior flattening of the cornea which is due to the anterior peripheral bulge caused by the ring.

Advantageously, the corneal ring inlay of the invention does not impede permeation of nutrients and gases. The refractive index of the ring itself is not a factor because of its location peripheral to the optic zone; therefore, a high water content (e.g., 72%) biocompatible, permeable hydrogel ring may be advantageously used in accordance with this invention. Alternatively, flexible materials such as silicone or urethanes, or hard plastics such as polysulfone or

PMMA, may also be used. It is preferred to use ring inlays of refractive index between 1.350 and 1.400, most preferably between 1.370 and 1.386. As the refractive index of corneal tissue is about 1.376, rings of the preferred indices will not scatter light nor be visible, which is cosmetically desirable.

Important advantages of the use of the above-described corneal ring inlays include: (a) reversibility of the procedure, that is to say, removal of the inlay, should this be desired, and (b) alteration of the central cornea's curvature without placing any stress upon it. This latter advantage assures complete freedom from the potential for corneal scarring that could cause optical aberrations.

The following examples are merely exemplary of the use of the ring apparatus of the invention and are not to be construed as in any way limiting the scope of the invention which is disclosed in the specification and appended claims.

20

#### EXAMPLE 1

##### Insertion Of Inlay Ring In Human Eyes In Vitro

High water content hydrogel rings were implanted in the corneas of five human eyes from cadavers. While all rings had an outside diameter of 7 mm, the inside diameters of individual rings ( $d$  in Fig. 2) were either 3 mm or 4 mm.

Rings were implanted using the following surgical technique. An 8.0 to 8.5 mm diameter Hessburg-Barron trephine was used to make a circular keratotomy approximately 0.2 to 0.3 mm deep in the stroma. The wound was then undermined towards the optic zone to a diameter equal to the inner diameter (3 mm or 4 mm) of the ring to be implanted. The ring was then inserted

using the "tire-iron" approach. The resulting wound was sutured using either interrupted or running suture techniques.

5 The corneal topography of each eye was examined using corneoscopy and the Eye-Sys corneal topography system. Comparison of pre-surgery with post-surgery corneas revealed flattening of the corneas in eyes in which the ring of the invention had been implanted. Rings of different thicknesses produced different  
10 degrees of flattening.

#### EXAMPLE 2

##### Insertion Of Inlay Ring In Pig Eyes In Vitro

The rings and procedure of Example 1 were used with pig eyes. Corneal topography comparisons revealed  
15 the same type of corneal curvature flattening by the rings as in Example 1.

#### EXAMPLE 3

##### Insertion Of Inlay Ring In Rabbit Eyes In Vivo

High water content hydrogel rings were implanted  
20 in rabbit eyes in vivo and observed over a period of two months using the same rings and procedure as in Example 1. Typically, sutures were removed between weeks two and three post-operatively. All eyes exhibited flattening of the cornea as determined by  
25 visual observation. Examination of a rabbit eye eight months post-surgery revealed a clear and healthy cornea with an equally clear ring implant.

#### EXAMPLE 4

##### Inserting of Inlay Ring in Rabbit Eyes In Vivo

30 A ring was punched from a 72% water content contact lens, such that the internal diameter was

approximately 6.5 mm and the outside diameter was approximately 8 mm. The thickness of the ring was approximately 0.1 mm.

5 For implantation of the ring, a 6.0 mm diameter Hessburg-Barron trephine was used to make a circular cut approximately 0.2 mm deep into the stroma. The wound was then undermined away from the optic zone (towards the periphery) to a diameter equal to about 8.5 mm. The ring was inserted using the "tire-iron" 10 approach. The resulting wound was allowed to reseal naturally without sutures.

Examination of the rabbit four days after surgery revealed some corneal flattening.

We claim:

1. Inlay apparatus for flattening the curvature of the central optic zone of the cornea of the eye such that the apparatus does not intrude into said optic zone, comprising a biocompatible continuous corneal ring of a refractive index that is within 2% of that of the adjacent corneal tissue, adapted for insertion in the stroma of said cornea through a circular cut through Bowman's membrane and the superficial anterior stroma, wherein said ring is of a fixed diameter and has a thickness and cross-sectional geometry adapted to effect a significant degree of corneal curvature flattening.

2. An apparatus of claim 1 wherein said material is a polymer.

3. An apparatus of claim 1, wherein said biocompatible ring is permeable to fluids, nutrients and gases.

4. An apparatus of claim 3, wherein said material is a hydrogel.

5. An apparatus of claim 2, wherein said biocompatible ring is substantially impermeable to fluids, nutrients and gases.

6. An apparatus of claim 1, wherein said cross-sectional thickness and geometric shape of said ring raise the anterior cornea peripherally, thereby reducing the curvature of the central cornea, and thereby correcting for a myopic refractive error in said eye.

7. An apparatus of claim 6, wherein the ring is thickest in the middle and thinnest at the inner and outer edges of the ring.

8. An apparatus of claim 7, wherein the anterior surface of said ring is of a cross-sectionally domed shape and the posterior surface of said ring is substantially flat.

5           9. A method for flattening the curvature of the central optical region of the cornea of the eye of a patient for correction of a refractive error, comprising inserting into the stroma of said cornea an  
10 inlay ring apparatus comprising a biocompatible continuous ring composed of a material whose refractive index is within about 2% of that of adjacent corneal tissue, wherein said ring is of a fixed diameter and has a cross sectional thickness and thickness  
15 sufficient to effect a significant degree of corneal curvature flattening, by a method comprising the steps of:

a) making a circular peripheral cut in the cornea peripheral to the optical zone;

20           b) undermining the stroma through Bowman's membrane in a circular fashion toward the optical zone, leaving the optical zone of the cornea intact;

c) placing said ring into the undermined portion of the stroma; and

25           d) resealing the periphery of the tissue, either naturally, with sutures, adhesive or a laser beam.

10. A method of claim 9, wherein said peripheral cut is made in the limbus.

30           11. A method for flattening the curvature of the central optical region of the cornea of the eye of a patient for correction of a refractive error, comprising inserting into the stroma of said cornea an inlay ring apparatus comprising a biocompatible

continuous ring composed of a material whose refractive index is within about 2% of that of adjacent corneal tissue, wherein said ring is of a fixed diameter and has a cross sectional thickness and thickness

5 sufficient to effect a significant degree of corneal curvature flattening, by a method comprising the steps of:

a) making a circular peripheral cut in the cornea peripheral to the optical zone;

10 b) undermining the stroma through Bowman's membrane in a circular fashion toward the limbus of the eye;

c) placing said ring into the undermined portion of the stroma; and

15 d) resealing the periphery of the tissue either naturally, or with sutures, adhesive or a laser beam.

12. A method for flattening the curvature of the central optical region of the cornea of the eye of a patient for correction of a refractive error, comprising inserting into the stroma of said cornea an inlay ring apparatus comprising a biocompatible continuous ring composed of a material whose refractive index is within about 2% of that of adjacent corneal tissue, wherein said ring is of a fixed diameter and has a cross sectional thickness and thickness sufficient to effect a significant degree of corneal curvature flattening, by a method comprising the steps of:

30 a) resecting a cap portion of the cornea through the optical zone;

b) placing said ring on the surface of said resected cornea;



c) replacing said resected cap portion of the cornea over said ring; and

d) resealing the tissue either naturally, or by suturing, adhesive or laser beam.

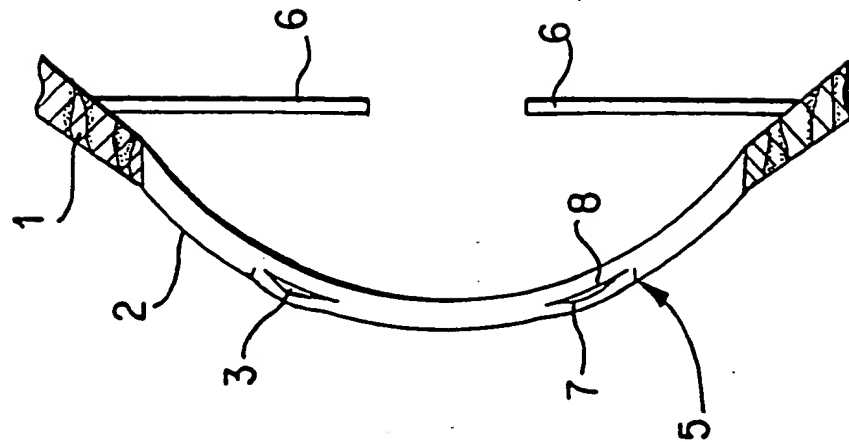


FIG. 1B

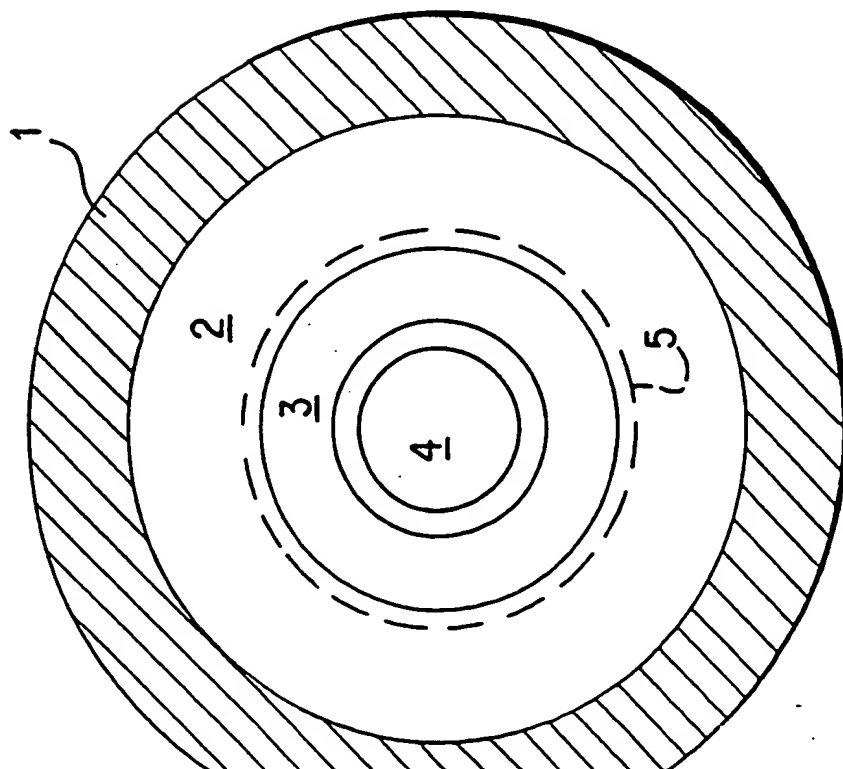


FIG. 1A

2/2

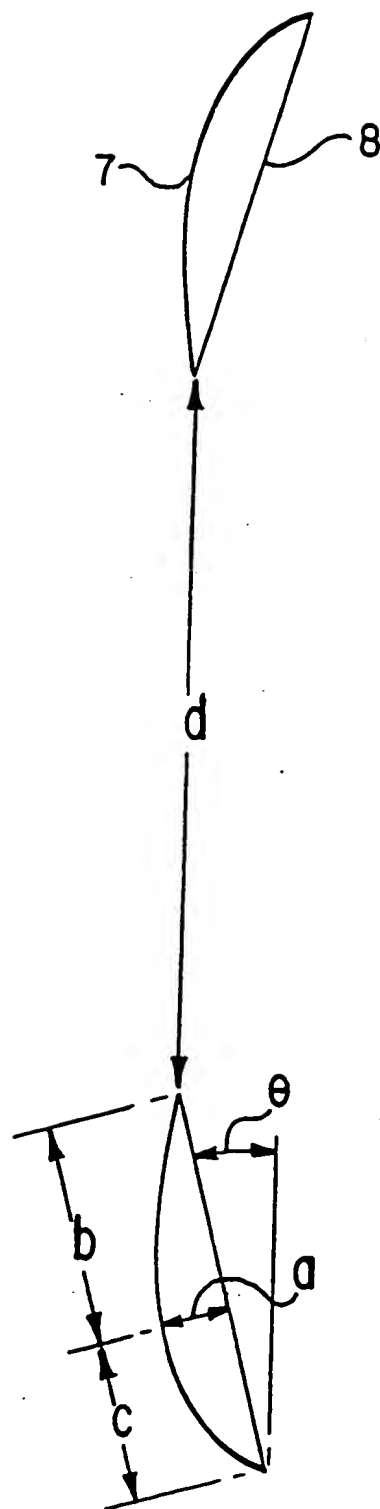


FIG 2

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US92/11123

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) : A61F 2/14

US CL : 623/5

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 623/4,6 424/427 604/294

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	SU,A, 388,746 (ZHITORSKII) 30 OCTOBER 1973 See entire document	1-8,12
Y	US,A, 4,888,016 (LANGERMAN) 19 DECEMBER 1989 See entire document	3-5
Y	US,A, 4,961,744 (KILMER ET AL) 09 OCTOBER 1990 See entire document	7-8
Y,P	US,A, 5,123,921 (WERBLIN ET AL) 23 JUNE 1992 See entire document	12

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be part of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

22 APRIL 1993

Date of mailing of the international search report

28 MAY 1993

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCTAuthorized officer *my main*  
*benjamin*

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US92/11123

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US,A, 4,624,669 (GRENDahl) 25 NOVEMBER 1986 See entire document	12